

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004\_0277A

First Named Inventor : Hiroshi KAYAMA et al.

Title: OPTICAL PICKUP DEVICE, SIGNAL PROCESSING METHOD  
FOR OPTICAL PICKUP DEVICE, AND OPTICAL DISK DRIVE UNIT

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)2. ☐ Small Entity Status is hereby asserted.8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)3. ☒ Specification [Total Pages: 41]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ Paperc. ☐ The paper and computer readable copies are identical

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

## ACCOMPANYING APPLICATION PARTS

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 8]9. ☒ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)☐ Power of Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449☐ Copies of IDS Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).

Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other5. ☒ Oath or Declaration [Total Pages: 6]a.1. ☒ Newly executed (original or copy)a.2. ☐ Unexecutedb. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet:☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No.

Prior Application Information Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,  
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The  
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

By: Jeffrey R. Filipek  
Registration No. 41,471WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

February 20, 2004

10281 U.S. PTO  
02200422858 U.S. PTO  
10781617

022004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Hiroshi KAYAMA et al. :  
Serial No. NEW : **Attn: APPLICATION BRANCH**  
Filed February 20, 2004 : Attorney Docket No. 2004\_0277A  
OPTICAL PICKUP DEVICE, SIGNAL PROCESSING  
METHOD FOR OPTICAL PICKUP DEVICE, AND  
OPTICAL DISK DRIVE UNIT

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1068.00 to cover Patent Office fees relating to filing the following attached papers:

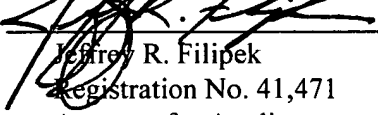
New application ..... \$770.00  
Assignment for Recordal ..... \$40.00  
Additional Claims Fee:  
Excess of Twenty ..... \$  
Independent ..... \$258.00  
Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Hiroshi KAYAMA et al.

By   
Jeffrey R. Filipek  
Registration No. 41,471  
Attorney for Applicants

JRF/kjf  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
February 20, 2004

[Check No. 60307]  
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